

The Statement

Board of Directors

Terry L. Cash
Chairman

T. Alexander Evins
Vice Chairman

Charles E. Atchison, Sr.

Andrew M. Babb

Vollie C. "Vic" Bailey III

James D. Bearden III, MD

Norman H. Chapman

Caleb C. Fort

Marsha H. Gibbs

Robert A. Harley

Samuel H. Maw, Jr.

D. Byrd Miller III

John S. Poole
*President and
Chief Executive Officer*

R. Lamar Simpson
*Treasurer and
Chief Financial Officer*

L. Terrell Sovey

W. Lewis White, Sr.



Bank Marks \$250,000,000 in Assets

Despite tough economic times across the country, Carolina Alliance has grown to a milestone of \$250,000,000 in assets, proving that it remains a strong, growing and viable bank.

"Carolina Alliance's continued growth and success is directly attributable to our wonderful customers," said **John Poole**, president and chief executive officer. "They are committed to doing business with

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Boardroom Named in Honor of Bob Harley

Carolina Alliance board member and former board chair **Robert**

A. Harley recently was honored when board chair **Terry L. Cash** announced the bank's boardroom will hereafter and in perpetuity be known as "The Robert A. Harley Boardroom."



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Schwendi- mann Joins Carolina Alliance Staff

Carolina Alliance and Mahon and Ryan Investment Management, Inc., are making it easier for bank customers to take advantage of Mahon and Ryan's services with the recent addition of an associate who will have an office at the bank on a fulltime basis.

Veteran banker **Chris Schwendimann** has joined Carolina Alliance as a vice



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Carolina Alliance Women Build! A group of women from Carolina Alliance recently spent their Saturday volunteering at the Spartanburg Habitat for Humanity's Women Build home. These hard-working women included (bottom, from left) Janice Addington, Carolyn MacIntosh, Laura Austill; (back) Nichole Buchanan, Kathy Davis, Tina Gainey, Gail Crocker.



Three members of the bank's board also are on the United Way's Chairman's Circle. They include, Norman Chapman (second row, second from left); John Poole (second row, third from left) and Byrd Miller (fourth row, middle.)

Community News

Carolyn MacIntosh recently represented Carolina Alliance at the annual Spartanburg Association of Realtors Affiliate Day and Trade Show which was held at Carolina Country Club in conjunction with SAR's Annual Meeting. MacIntosh provided information about the bank's products and services with a focus on mortgage lending to the approximately 150 realtors in attendance.

We're going pink! If you're in the downtown area at night during the month of October be sure and check out the bank's pink glow. In honor of breast cancer awareness month our exterior lights will shine pink.

Ice cream anyone? To raise funds for the March of Dimes, bank staff hosted two ice cream socials



this summer. At these popular events staff made homemade ice cream and cups of lemonade (in July) which were sold for \$1 each.

Back to school (top, opposite page): We need to send a big



(far left) At the September ice cream social Monica Cain gets a cup of ice cream from co-worker Michelle Strawn. (left) Bobby Scroggs' granddaughter Morgan sold lemonade at the July ice cream social.



thank you to our staff and customers for all the items they donated to our Tools4Schools Back-to-School school supplies drive. **Amy Harper** and **Kyle Yongue** helped organize the items before they were delivered to the United Way of the Piedmont for distribution.

Staff News

Board member **Marsha Gibbs** recently was named to the Spartanburg Regional Foundation Board of Trustees. This board works with the Spartanburg Regional Foundation staff as it strives to support excellent health care within Spartanburg and the surrounding area.



Atchison Transportation Services, Inc., recently was recognized at the Motorcoach Association of South Carolina as it took home the organization's top award—the President's Award, which was pre-

Board member Charles Atchison's great-granddaughter Bryashia Atchison-Henderson was selected to attend the South Carolina Governor's School for the Arts and Humanities High School as a member of the dance department for the 2011-2012 academic year.

sented to Pinckney L. Spencer. Last year, board member and Atchison Transportation owner and founder **Charles Atchison** was presented with the award.



"We are pleased the MCASC would recognize those who actively participate in issues to improve the safety, professionalism and quality of service in our industry and I'm especially honored that the first two awards went to associates at Atchison Transportation," Atchison said.

Margaiel Stephens, who was one of the first tellers hired at Carolina Alliance, retired in July. She had a 15-year banking career and her pretty smile and cheerful personality will be terribly missed by co-workers, customers and their pets (for whom she always had a treat!)



Leslie Bailey, the daughter of board member Vollie C. "Vic" Bailey, III, in August received her Master of Science Degree in Textiles, Merchandising and Interiors from the University of Georgia. Leslie is now working in Spartanburg as the marketing director for Advantage Health Care.

Yes, that's one happy lady to be wet! And no, she didn't fall into the fountain at the Gibbs Cancer Center at Spartanburg Regional, but earned her jump into the water. **Lynne Poole**, wife of Carolina Alliance president and chief executive officer **John Poole** recently completed chemotherapy and radiology treatments for breast cancer. And we are all thankful that she is now in remission.



About Mahon and Ryan Investment Management, Inc.*

- Offers comprehensive investment strategies including investment management, trust services, retirement planning, additional 401k and IRA rollover opportunities and small business retirement plans.
- Headquartered in downtown Spartanburg with a separate office at Carolina Alliance.
- For more information, call (864) 585-0020.

* Securities and Insurance products offered through LPL Financial and its affiliates member FINRA/SIPC

Not FDIC Insured No Bank Guarantee May Lose Value

Not a Deposit Not Insured by any Federal Government Agency

Schwendimann (continued from page 1)

president and private banking officer; however he also is a registered securities principal and investment advisory representative with Mahon and Ryan. While Schwendimann will have an office at Carolina Alliance and be able to offer any bank products, he also will be able to provide investment services through the bank's affiliation with Mahon and Ryan.

"We are very pleased that Chris has joined Carolina Alliance," said **John Poole**, Carolina Alliance's president and chief executive officer. "With more than 16 years of banking and related experience, Chris will play an integral role as Carolina Alliance continues to grow its presence in private banking."

Schwendimann received his B.A. in accounting from Wofford College and his M.B.A. from Edinburgh Business School. In addition to his financial services career, he has more than 18 years of military experience, receiving numerous accolades and graduating from both Army and Naval post-graduate education programs. He currently holds the rank of Major and serves as Executive Officer for one of South Carolina's aviation battalions.

"We are confident that Chris' leadership and insight will help us as we increase our presence for Carolina Alliance customers," said Bob Ryan, principal at Mahon and Ryan Investment Services. "We are excited about having Chris at the bank on a fulltime basis and how that will benefit customers."

Harley (continued from page 1)

"I was completely unaware this was being planned and it was a most pleasant surprise," said Harley, who was an organizer of the bank and its first board chair, serving from 2006-2011. "I am very grateful for this honor, and thank the bank's board for acknowledging me."

To reflect the room's new name, a portrait of Harley is being commissioned. Once completed, it will hang inside the room, which is located on the bank's third floor. The boardroom also features artwork from Spartanburg artists.

"If you know Bob Harley, you know how much he has done for Spartanburg County," said **John Poole**, Carolina Alliance's president and CEO. "Besides being a good businessman he has greatly contributed to the success of this bank, and he has been instrumental in the success of many organizations and nonprofits in Spartanburg County."

Harley also has been recognized publicly this year. The Spartanburg Herald-Journal recently published a feature about Harley and his dedication to Spartanburg's business community as well as his philanthropic efforts.

The City of Spartanburg passed a resolution to honor him and proclaimed March 26, 2011 as "Robert A. Harley Day" in the City of Spartanburg. Also on that day, the Spartanburg Regional Foundation presented him with a Lifetime Achievement Award that read: "To Mr. Bob Harley on this day, March 26, 2011, with grateful appreciation and distinguished recognition of your hard work, dedication, and commitment to the Spartanburg Regional Foundation."

Harley has been part of the Spartanburg Regional Foundation since its inception, serving now as its director emeritus. He also has held other leadership roles in the community including founder and organizer of the Carolina Country Club and he served on the board of directors of the Spartanburg Country Club. He also is on the board of directors for the Epworth Children's Home in Columbia. Harley graduated from Spartanburg High School and attended the Georgia Institute of Technology.

All told, Harley has been involved in community banking in Spartanburg County for more than 38 years as an organizer of Carolina Alliance, Spartanburg Bank and Trust and Carolina Southern Bank.

Harley also served as the chairman and CEO of the Harley Corporation and general manager of the Union Camp/Harley Bag Division. He has been retired from active retirement since 1985.



Carolina Alliance Adds Williams

Dianne P. Williams recently joined the staff of Carolina Alliance as a teller.

Williams, a graduate of Clemson University, brings to Carolina Alliance a varied background in banking, having worked in many different areas including: branch management, customer service and teller.

"We are very pleased to have Dianne join us at Carolina Alliance," said **Coleman Edmunds**, senior vice president/retail banking executive. "She is a talented professional who will help us as we continue to grow and serve the Spartanburg area community."

Customer Spotlight: *The First Tee*



At **The First Tee**, "High Fives" are a big part of life! Kids are taught character education through golf. The affirming experience helps foster success. And when our youth succeed, so does our community.

Chartered in 2002, the Spartanburg chapter is one of 198 chapters of First Tee, a global youth development organization. Spartanburg serves over 1,000 area youth annually through Open Enrollment classes as well as Outreach partnerships with local youth organizations. Learning centers at The Creek and Woodfin Ridge Golf Clubs feature classroom space and practice facilities, including a six-hole short course at The Creek, free to all youth.

The curriculum stresses goal setting, conflict resolution, emotional management, communication skills, healthy activity, and alcohol, tobacco, and drug avoidance. Central to The First Tee lessons are Nine Core Values inherent in the game of golf—honesty, integrity, sportsmanship, respect, confidence, responsibility, perseverance, courtesy, and judgment. In collaboration with Annika Sorenstam and the Florida Hospital for Children, The First Tee also teaches Nine Healthy Habits, promoting health and wellness through golf. These principles help youth face challenges in a constructive manner, both on and off the golf course.

Beginning in the fall of 2011, an additional 4,000 students will be taught The First Tee golf and life skills as part of their PE curriculum during school hours. Partnering elementary schools include Jesse Boyd, Houston, Inman, Oakland, Pacolet, Pine Street, and West View.

Please accept a big "High Five" in advance for your support of The First Tee of Spartanburg, a Carolina Alliance Bank customer. We congratulate The First Tee of Spartanburg for helping build a better community through successful kids.

Contact Info and Locations

The Creek Golf Club, 640 Keltner Avenue, Spartanburg, SC 29302

Woodfin Ridge Golf Club, 215 S. Woodfin Ridge Drive, Inman, SC 29349

(864) 583-7084, ext. 7 • fdunn@TheFirstTeeSpartanburg.org



Steve Brown and **Cyndi Waters** represented the bank in August on Financial Fridays, on Your Carolina with Jack and Kimberly. They discussed the importance of community banking and the advantages of private banking.



We showed our colors... red, white and blue, that is, during September. As one of the ways to remember 9-11 bank staff sold flag stickers for \$1 each. Proceeds were donated to the local chapter of the Blue Star Mothers. Nichole Buchanan, left, and Janice Addington, far right, present a check to Mary Henderson, president of the Blue Star Mothers of Spartanburg.



Spot a Scam

In an ongoing effort to inform our customers of possible scams, here's some information from the FDIC.

Question: If you get an e-mail from a federal government agency such as the IRS or the FDIC asking you to confirm or verify personal financial information, it's always safe to do so.

(a) True or (b) False

Answer: If you selected "b" congratulations, you chose correctly. Just because an e-mail or web site looks like what you'd expect from a government agency, convincing copycats are out there. Remember that the FDIC or another government agency, bank or credit card company would never contact you asking for personal information such as account numbers and online passwords and usernames.

For more information on protecting against crooks pretending to be legitimate organizations, check out the FDIC's website under consumer protection at www.fdic.gov.

Source: www.fdic.gov

Assets (continued from page 1)

us and introducing us to others. We appreciate their confidence in us.

"No matter how much we grow, Carolina Alliance will always hold fast to the fundamental belief that person-to-person banking will remain our core business principle."

Carolina Alliance, established in January 2007, has a staff of 39 and is located in downtown Spartanburg. Our primary emphasis is on consumer, private/professional and small- to mid-sized business banking. Within these areas, you'll find our pricing is some of the best overall in our market.

And our customer-service is simply the best, another reason we remain a strong, well-capitalized community bank.



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Complete staff listing or Carolina Alliance info: www.carolinaalliancebank.com